

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM D

Notice of Exempt Offering of Securities

OMB APPROVAL	
OMB Number:	3235-0076
Estimated average burden hours per response:	4.00

1. Issuer's Identity

CIK (Filer ID Number) 0001327607	Previous Names X None	Entity Type X Corporation Limited Partnership Limited Liability Company General Partnership Business Trust Other (Specify)
Name of Issuer First Western Financial Inc		
Jurisdiction of Incorporation/Organization COLORADO		
Year of Incorporation/Organization X Over Five Years Ago Within Last Five Years (Specify Year) Yet to Be Formed		

2. Principal Place of Business and Contact Information

Name of Issuer First Western Financial Inc			
Street Address 1 1900 SIXTEENTH ST, #1200		Street Address 2	
City DENVER	State/Province/Country COLORADO	ZIP/PostalCode 80202	Phone Number of Issuer 303-531-8100

3. Related Persons

Last Name Wylie	First Name Scott	Middle Name C.
Street Address 1 1900 16th Street, Suite 1200	Street Address 2	
City Denver	State/Province/Country COLORADO	ZIP/PostalCode 80202
Relationship: X Executive Officer X Director Promoter		

Clarification of Response (if Necessary):

Last Name Sawyer	First Name John	Middle Name E.
Street Address 1 1900 16th Street, Suite 1200	Street Address 2	
City Denver	State/Province/Country COLORADO	ZIP/PostalCode 80202
Relationship: X Executive Officer Director Promoter		

Clarification of Response (if Necessary):

Last Name		First Name		Middle Name	
Thompson		Daniel		C.	
Street Address 1		Street Address 2			
1900 16th Street, Suite 1200					
City		State/Province/Country		ZIP/PostalCode	
Denver		COLORADO		80202	
Relationship:		X Executive Officer		Director	Promoter

Clarification of Response (if Necessary):

Last Name		First Name		Middle Name	
Wilson		Josh		M.	
Street Address 1		Street Address 2			
1900 16th Street, Suite 1200					
City		State/Province/Country		ZIP/PostalCode	
Denver		COLORADO		80202	
Relationship:		X Executive Officer		Director	Promoter

Clarification of Response (if Necessary):

Last Name		First Name		Middle Name	
Lawley		Scott		J.	
Street Address 1		Street Address 2			
1900 16th Street, Suite 1200					
City		State/Province/Country		ZIP/PostalCode	
Denver		COLORADO		80202	
Relationship:		X Executive Officer		Director	Promoter

Clarification of Response (if Necessary):

Last Name		First Name		Middle Name	
Caponi		Julie		A.	
Street Address 1		Street Address 2			
1900 16th Street, Suite 1200					
City		State/Province/Country		ZIP/PostalCode	
Denver		COLORADO		80202	
Relationship:		Executive Officer X		Director	Promoter

Clarification of Response (if Necessary):

Last Name		First Name		Middle Name	
Duncan		David		R.	
Street Address 1		Street Address 2			
1900 16th Street, Suite 1200					
City		State/Province/Country		ZIP/PostalCode	
Denver		COLORADO		80202	
Relationship:		Executive Officer X		Director	Promoter

Clarification of Response (if Necessary):

Last Name		First Name		Middle Name	
Gart		Thomas		A.	
Street Address 1		Street Address 2			
1900 16th Street, Suite 1200					
City		State/Province/Country		ZIP/PostalCode	
Denver		COLORADO		80202	

Relationship: Executive Officer X Director Promoter

Clarification of Response (if Necessary):

Last Name	First Name	Middle Name
Hamill	Patrick	H.
Street Address 1	Street Address 2	
1900 16th Street, Suite 1200		
City	State/Province/Country	ZIP/PostalCode
Denver	COLORADO	80202
Relationship:	Executive Officer X Director	Promoter

Clarification of Response (if Necessary):

Last Name	First Name	Middle Name
Latimer	Luke	A.
Street Address 1	Street Address 2	
1900 16th Street, Suite 1200		
City	State/Province/Country	ZIP/PostalCode
Denver	COLORADO	80202
Relationship:	Executive Officer X Director	Promoter

Clarification of Response (if Necessary):

Last Name	First Name	Middle Name
Sipf	Eric	D.
Street Address 1	Street Address 2	
1900 16th Street, Suite 1200		
City	State/Province/Country	ZIP/PostalCode
Denver	COLORADO	80202
Relationship:	Executive Officer X Director	Promoter

Clarification of Response (if Necessary):

Last Name	First Name	Middle Name
Smith	Mark	L.
Street Address 1	Street Address 2	
1900 16th Street, Suite 1200		
City	State/Province/Country	ZIP/PostalCode
Denver	COLORADO	80202
Relationship:	Executive Officer X Director	Promoter

Clarification of Response (if Necessary):

Last Name	First Name	Middle Name
Zimlich	Joseph	C.
Street Address 1	Street Address 2	
1900 16th Street, Suite 1200		
City	State/Province/Country	ZIP/PostalCode
Denver	COLORADO	80202
Relationship:	Executive Officer X Director	Promoter

Clarification of Response (if Necessary):

Last Name	First Name	Middle Name
Courkamp	Julie	A.

Street Address 1	Street Address 2	
1900 16th Street, Suite 1200		
City	State/Province/Country	ZIP/PostalCode
Denver	COLORADO	80202
Relationship: X Executive Officer Director Promoter		

Clarification of Response (if Necessary):

4. Industry Group

Agriculture	Health Care	Retailing
Banking & Financial Services	Biotechnology	Restaurants
X Commercial Banking	Health Insurance	Technology
Insurance	Hospitals & Physicians	Computers
Investing	Pharmaceuticals	Telecommunications
Investment Banking	Other Health Care	Other Technology
Pooled Investment Fund	Manufacturing	Travel
Is the issuer registered as an investment company under the Investment Company Act of 1940?	Real Estate	Airlines & Airports
Yes No	Commercial	Lodging & Conventions
Other Banking & Financial Services	Construction	Tourism & Travel Services
Business Services	REITS & Finance	Other Travel
Energy	Residential	Other
Coal Mining	Other Real Estate	
Electric Utilities		
Energy Conservation		
Environmental Services		
Oil & Gas		
Other Energy		

5. Issuer Size

Revenue Range	OR	Aggregate Net Asset Value Range
No Revenues		No Aggregate Net Asset Value
\$1 - \$1,000,000		\$1 - \$5,000,000
\$1,000,001 - \$5,000,000		\$5,000,001 - \$25,000,000
\$5,000,001 - \$25,000,000		\$25,000,001 - \$50,000,000
X \$25,000,001 - \$100,000,000		\$50,000,001 - \$100,000,000
Over \$100,000,000		Over \$100,000,000
Decline to Disclose		Decline to Disclose
Not Applicable		Not Applicable

6. Federal Exemption(s) and Exclusion(s) Claimed (select all that apply)

Rule 504(b)(1) (not (i), (ii) or (iii))	Investment Company Act Section 3(c)	
Rule 504 (b)(1)(i)	Section 3(c)(1)	Section 3(c)(9)
Rule 504 (b)(1)(ii)	Section 3(c)(2)	Section 3(c)(10)
Rule 504 (b)(1)(iii)		
X Rule 506(b)	Section 3(c)(3)	Section 3(c)(11)
Rule 506(c)	Section 3(c)(4)	Section 3(c)(12)
Securities Act Section 4(a)(5)	Section 3(c)(5)	Section 3(c)(13)

7. Type of Filing

☒ New Notice Date of First Sale 2020-03-17 First Sale Yet to Occur
Amendment

8. Duration of Offering

Does the Issuer intend this offering to last more than one year? Yes ☒ No

9. Type(s) of Securities Offered (select all that apply)

<input type="checkbox"/> Equity	<input type="checkbox"/> Pooled Investment Fund Interests
<input checked="" type="checkbox"/> Debt	<input type="checkbox"/> Tenant-in-Common Securities
<input type="checkbox"/> Option, Warrant or Other Right to Acquire Another Security	<input type="checkbox"/> Mineral Property Securities
<input type="checkbox"/> Security to be Acquired Upon Exercise of Option, Warrant or Other Right to Acquire Security	<input type="checkbox"/> Other (describe)

10. Business Combination Transaction

Is this offering being made in connection with a business combination transaction, such as a merger, acquisition or exchange offer? Yes ☒ No

Clarification of Response (if Necessary):

11. Minimum Investment

Minimum investment accepted from any outside investor \$8,000,000 USD

12. Sales Compensation

Recipient	Recipient CRD Number	None
Hovde Group, LLC	25425	
(Associated) Broker or Dealer <input checked="" type="checkbox"/> None	(Associated) Broker or Dealer CRD Number	<input checked="" type="checkbox"/> None
None	None	
Street Address 1	Street Address 2	
120 W. Madison Street	Suite 1200	
City	State/Province/Country	ZIP/Postal Code
Chicago	ILLINOIS	60602
State(s) of Solicitation (select all that apply) Check "All States" or check individual States	<input checked="" type="checkbox"/> All States <input type="checkbox"/> Foreign/non-US	

COLORADO

VIRGINIA

13. Offering and Sales Amounts

Total Offering Amount	\$8,000,000 USD	or	Indefinite
Total Amount Sold	\$8,000,000 USD		
Total Remaining to be Sold	\$0 USD	or	Indefinite

Clarification of Response (if Necessary):

14. Investors

Select if securities in the offering have been or may be sold to persons who do not qualify as accredited investors, and enter the number of such non-accredited investors who already have invested in the offering.

Regardless of whether securities in the offering have been or may be sold to persons who do not qualify as accredited investors, enter the total number of investors who already have invested in the offering:

* This undertaking does not affect any limits Section 102(a) of the National Securities Markets Improvement Act of 1996 ("NSMIA") [Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)] imposes on the ability of States to require information. As a result, if the securities that are the subject of this Form D are "covered securities" for purposes of NSMIA, whether in all instances or due to the nature of the offering that is the subject of this Form D, States cannot routinely require offering materials

under this undertaking or otherwise and can require offering materials only to the extent NSMIA permits them to do so under NSMIA's preservation of their anti-fraud authority.
